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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Bermudes et al.

Application No.: 09/645,415

Group Art Unit: 1614

Filed: August 24, 2000

Examiner: To be assigned

For: COMPOSITIONS AND METHODS FOR

Attorney Docket No.: 8002-059

TUMOR-TARGETED DELIVERY OF

EFFECTOR MOLECULES

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 C.F.R. §§ 1.56 AND 1.97</u>

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. §§ 1.56 and 1.97 to inform the Patent Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for Applicants hereby invite the Examiner's attention to the references AA-EZ listed on the attached revised form PTO 1449 entitled "List of References Cited by Applicant." Copies of references AA-EZ are submitted herewith.

Identification of the listed references is not meant to be construed as an admission of Applicants or Attorneys for Applicants that such references are available as "prior art" against the subject application. Consequently, Applicants respectfully decline to use form PTO-1449, since this form identifies all of the references cited therein as "Prior Art." As an alternative, Applicants submit herewith several pages of a "revised form PTO 1449" entitled "List of References Cited" instead of "List of Prior Art Cited".

Applicants respectfully request that the Examiner review the foregoing references and that the references be made of record in the file history of the application.

Pursuant to 37 C.F.R. § 1.97(b), since it is believed that this information disclosure statement is being filed before the mailing date of a first Office Action on the merits, no fee is due in connection herewith. However, should the Patent Office determine

otherwise, please charge the required fee to Pennie & Edmonds LLP deposit account no. 16-1150. A duplicate copy is enclosed for accounting purposes.

Respectfully submitted,

Date August 24, 2001

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Enclosures

By: Jenniger Jr Chheda Reg No. 46,617 EXPRESS MAIL NO. <u>EL 501 638 985 US</u>



LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

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